

Privacy Policy Notice

Sound Legacy Wealth Advisors, LLC

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| FACTS | WHAT DOES SOUND LEGACY WEALTH ADVISORS, LLC DO WITH YOUR FINANCIAL INFORMATION? | |
| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. | |
| What? | <p>The types of personal information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and income ▪ Account balances and assets ▪ Transaction history ▪ Credit history and credit scores | |
| How? | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Sound Legacy Wealth Advisors, LLC chooses to share; and whether you can limit this sharing. | |
| | Reasons we can share your personal information | Do we share? |
| | For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes |
| | For our marketing purposes – to offer our products and services to you | Yes |
| | For joint marketing with other financial companies | No |
| | For our affiliates' everyday business purposes – information about your transactions and experiences | Yes |
| | For our affiliates' everyday business purposes – information about your creditworthiness | No |
| | For our affiliates to market to you | No |
| | For nonaffiliates to market to you | No |
| | Can you limit this sharing? | No |
| | Can you limit this sharing? | No |
| | Can you limit this sharing? | Not Applicable |
| | Can you limit this sharing? | No |
| | Can you limit this sharing? | Not Applicable |
| | Can you limit this sharing? | Not Applicable |
| Questions? | Call us at (425) 800-5914 | |

| Who we are | |
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| Who is providing this notice? | Sound Legacy Wealth Advisors, LLC |
| What we do | |
| How does Sound Legacy Wealth Advisors, LLC protect my personal information? | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building. |
| How does Sound Legacy Wealth Advisors, LLC collect my personal information? | <p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ▪ Open an account ▪ Deposit money ▪ Seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from other companies.</p> |
| Definitions | |
| Affiliates | <p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We may share information with our affiliates for our everyday business purposes, including information about your transactions and experiences.</i> |
| Nonaffiliates | <p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We do not share with nonaffiliates so that they can market to you.</i> |
| Joint marketing | <p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> ▪ <i>We do not jointly market.</i> |
| Other important information | |
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